

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

SCHWAB ET AL -3

SERIAL NO.:

09/764,634

GROUP: 1765

FILED:

JANUARY 18, 2001

EXAMINER: B.X. TRAN

TITLE:

PROCESS FOR PRODUCING A SEMICONDUCTOR WAFER

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT OF DR. WOLFGANG STAUDACHER

Mail Stop Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Examiner the attached first Information Disclosure Statement, dated September 10, 2003, duly signed by Dr. Wolfgang Staudacher, and the three reference listed on the enclosed Form PTO-1449 and attached thereto, which are based upon the Japanese Office Action

Applicants wish to bring to the attention of the Patent Examiner the attached second Information Disclosure Statement, dated November 12, 2003, duly signed by Dr. Wolfgang Staudacher, and the three reference listed on the enclosed Form PTO-1449 and attached thereto, which are based upon the enclosed European Search Report.

12/15/2003 AADOFO1 00000021 09764634

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180.00 OP

A check in the amount of \$180.00 is enclosed to cover the fee for filing this one Submission of two Information Disclosure Statements (IDS). The Commissioner is hereby authorized to charge Deposit Account No. 03-2468 for any additional fees or credit any overpayment in connection with this IDS. It is respectfully requested that the foregoing IDS be incorporated into the official file of the present patent application.

Respectfully submitted,

GUNTER SCHWAB ET AL

COLLARD & ROE, P.C. 1077 Northern Boulevard Roslyn, New York 11576 (516) 365-9802 ERF:dm Allison C. Collard, Reg.No.22,532 Edward R. Freedman, Reg.No.26,048

Attorneys for Applicant

Enclosures:

- 1) Check in the amount of \$180.00
- 2) Two Information Disclosure Statements of Dr. Staudacher, dated 9/10/03 and 11/12/03
- 2) 2 Forms PTO-1449 form, European Search Report three & four references respectively

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commission for Patents, P.O/Box 14/30, Alexandria, VA 22313-1450 on December 10, 2003

Maria Guastella



In re application of: SCHWAB ET AL-3

Serial Number: 09/764,634

Group Art Unit: 1765

Filed: Jan 18, 2001

For: PROCESS FOR PRODUCING A SEMICONDUCTOR WAFER

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Wolfgang Staudacher, associated with the preparation and prosecution of the above-identified application, residing at Seebauerstraße 4, 81735 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the Japanese Office Action and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For JP 06-084881 an English Abstract is enclosed. For JP 03-284844 an English Abstract is enclosed. For JP 2000-106355 an English Abstract is enclosed.

Signed this 10th day of September, 2003.

Dr. Wolfgarig Staudacher



FORM PTO-1449 (REV. 7-80)

U.S. DEPARTMENT OF COMMERCE

ATTY. DOCKET NO.: ST 9943 | SERIAL NO. 091764634

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Page 1 of 1 U. STEPARTMENT OF COMMERCE FORM PTO-1449 ATTY, DOCKET NO.: \$7 9943 SERIAL NO. 09/764,634 (REV. 7-80) APPLICANT: Schwab et al 3 LIST OF REFERENCES CITED BY APPLICANT. Jan. 18, 2001 GROUP: 17.65 (Use several sheets if necessary) FILING DATE: U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE IF APPROPRIATE AA AB AC AD ΑE AF AG AH ΑI AJ ΑK FOREIGN PATENT DOCUMENTS SUBCLASS DOCUMENT NUMBER COUNTRY CLASS TRANSLATION YES NO Germany Europe 198 05 835 8/19/99 211195 0637064 AM AN AO AP OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) English Derwent Abstract AN 1999-459666 AR AS

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

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